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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
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10/511,135

10/14/2004

Taemi Wada

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9229

7590

10/07/2008

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EXAMINER

ARMOUCHE, HADI S

ART UNIT

PAPER NUMBER

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DELIVERY MODE

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PAPER

**Please find below and/or attached an Office communication concerning this application or proceeding.**

The time period for reply, if any, is set in the attached communication.

<b>Office Action Summary</b>	<b>Application No.</b> 10/511,135	<b>Applicant(s)</b> WADA ET AL.	
	<b>Examiner</b> HADI ARMOUCHE	<b>Art Unit</b> 2132	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

### Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

### Status

- 1) ☒ Responsive to communication(s) filed on 14 July 2008.
- 2a) ☒ This action is **FINAL**.                      2b) ☐ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

### Disposition of Claims

- 4) ☒ Claim(s) 2-10, 12 and 13 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☒ Claim(s) 3-9 and 13 is/are allowed.
- 6) ☒ Claim(s) 2, 10 and 12 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

### Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 14 October 2004 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

### Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

### Attachment(s)

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)          | 4) <input type="checkbox"/> Interview Summary (PTO-413)           |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | Paper No(s)/Mail Date. _____                                      |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)          | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| Paper No(s)/Mail Date _____  | 6) <input type="checkbox"/> Other: _____                          |

### DETAILED ACTION

1. This communication is in response to the amendment filed on 7/14/2008. Claims 1 and 11 are cancelled, claims 2, 12 and 13 have been amended. Claims 2-10, 12-13 are pending.

#### ***Claim Rejections - 35 USC § 103***

2. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

3. Claims 2, 10 and 12 are rejected under 35 U.S.C. 103(a) as being unpatentable over Denney et al (US 2003/0061623) referred to hereinafter by Denney in view of Momona et al, "Technologies and Standardization Activities in Cable TV Access Networks", Technical Report of Institute of Electronics, Information and Communication Engineers IN98-164, February 15th 1999, Pages 57-64, Vol 98 No.589, referred to hereinafter by Momona.

4. Regarding claim 2, Denney teaches a device for performing bidirectional control in digital bidirectional communication, comprising:

*an interface block for converting a format of input downstream data to generate downward data [figure 8: element 702];*

*a CPU which receives the downward data and realizes a MAC (Media Access Control) function [figure 8: element 712 and paragraph 16]; and*

*a TEK process block for receiving TEK (Traffic Encryption Key) process data obtained from the downward data, analyzing a data structure of the TEK process data, and performing decryption processing based on a result of the analysis [paragraphs 19, 51 and 63].*

*wherein the TEK process block includes:*

*a structure analysis block for analyzing an MPEG structure included in the received TEK process data and a MAC (Media Access Control) structure buried in the MPEG structure to output MAC state information data that represents a state and meaning of MAC data having the MAC structure [paragraphs 20, 42 and 85];*

*a decryption block for identifying encrypted part of the TEK process data by referring to the MAC state information data, decrypting the encrypted part using TEK data for cryptanalysis, and integrating a result of the decryption with unencrypted part of the TEK process data [paragraphs 51 and 63].*

However, Denney does not explicitly teach *a structure analysis block for analyzing an MPEG structure included in the received TEK process data and a MAC (Media Access Control) structure buried in the MPEG structure to perform error detection using HCS data and error detection using MAC header information except for HCS data.*

Momona teaches *a structure analysis block for analyzing an MPEG structure included in the received TEK process data and a MAC (Media Access Control) structure buried in the MPEG structure to perform error detection using HCS data and*

Art Unit: 2132

*error detection using MAC header information except for HCS data [Figure 3 and section 2.4.1].*

At the time of the invention was made, it would have been obvious to an ordinary skill in the art to combine Momona's teachings with Denney's device. The motivation/suggestion would have been to use the HCS data and the MAC header information in the error correction coding based on ITU-J.83 and to modulate before sending the MPEG packet [Momona, section 2.4.1 last three lines].

5. The method of claim 12 has the same limitations as the device of claim 2 and hence same rejection rational is applied.

6. Regarding claim 10, Denney teaches that *the decryption block performs the steps of:*

*referring to the MAC state information data to identify encrypted part and unencrypted part of the TEK process data [paragraphs 46 and 51];*

*extracting from the TEK process data TEK collation data for selecting TEK data [paragraphs 63 and 64];*

*referring to the extracted TEK collation data to select TEK data used for decryption from a plurality of items of pre-stored TEK data [paragraphs 63 and 64];*

*converting the encrypted part so as to have a bit width equal to a unit of decryption processing and decrypting the converted encrypted part using the selected TEK data; and integrating the decrypted data and the unencrypted part. [paragraphs 65-69].*

***Allowable Subject Matter***

7. Claims 3-10 and 13 are allowed.

8. Regarding claims 3 and 13, although the prior art of record teaches and/or suggest that *the TEK process block includes a structure analysis block for analyzing an MPEG structure included in the received TEK process data and a MAC (Media Access Control) structure buried in the MPEG structure*, none of the prior art of record alone or in combination teaches that *the structure analysis block includes:*

*a MAC header analysis block for receiving the MAC data position signal and the MAC data head position signal and determining a state information for fields included in a MAC header of the MAC structure except for an extension header and a MACMM (MAC Management Message) header, wherein the MAC header analysis block outputs extension header position information data which indicates a position of the extension header when the TEK process data includes the extension header, and the MAC header analysis block outputs MACMM header position information data which indicates a position of the MACMM header when the TEK process data includes the MACMM header;*

*an extension header analysis block for receiving the extension header position information data and checking fields of the extension header to output extension header state information data which represents state information of the extension header;*

*and a MACMM header analysis block for receiving the MACMM header position information data and checking fields of the MACMM header to output MACMM header state information data which represents state information of the MACMM header,*

*wherein the MAC header analysis block receives the extension header state information data and the MACMM header state information data and generates the MAC state information data based on state information of the fields included in the MAC header except for the extension header and MACMM header, the state information of the extension header which is represented by the extension header state information data, and the state information of the MACMM header which is represented by the MACMM header state information data.*

9. Claims 4-9 are allowable by virtue of their dependency on claim 3.

### ***Response to Arguments***

10. Applicant's arguments with respect to claim 2 and 13 have been considered but are moot in view of the new ground(s) of rejection.

### ***Conclusion***

11. Applicant's amendment necessitated the new ground(s) of rejection presented in this Office action. Accordingly, **THIS ACTION IS MADE FINAL**. See MPEP § 706.07(a). Applicant is reminded of the extension of time policy as set forth in 37 CFR 1.136(a).

A shortened statutory period for reply to this final action is set to expire THREE MONTHS from the mailing date of this action. In the event a first reply is filed within TWO MONTHS of the mailing date of this final action and the advisory action is not mailed until after the end of the THREE-MONTH shortened statutory period, then the

Art Unit: 2132

shortened statutory period will expire on the date the advisory action is mailed, and any extension fee pursuant to 37 CFR 1.136(a) will be calculated from the mailing date of the advisory action. In no event, however, will the statutory period for reply expire later than SIX MONTHS from the date of this final action.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to HADI ARMOUCHE whose telephone number is (571)270-3618. The examiner can normally be reached on M-Th 7:30-5:00 and Fridays half day.

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Gilberto Barron can be reached on (571) 272-3799. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

/H. A./  
HADI ARMOUCHE  
Examiner, Art Unit 2132

/Gilberto Barron Jr/  
Supervisory Patent Examiner, Art Unit 2132